

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/086,649	03/01/2002	Ronald Lynn Blair	PU020030

CONFIRMATION NO. 6963

## FORMALITIES LETTER



\*OC000000007669219\*

JOSEPH S. TRIPOLI  
THOMSON MULTIMEDIA LICENSING INC.  
2 INDEPENDENCE WAY  
P. O. BOX 5312  
PRINCETON, NJ 08543-5312

Date Mailed: 03/19/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/30/2002 GGBREGI 00000066 070832 10086649

FILED UNDER 37 CFR 1.53(b)

01 FC:101	740.00 CH
02 FC:103	72.00 CH
03 FC:105	130.00 CH

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$72.
  - \$72 for 4 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 942.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Serial No.: 10/086,649



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Ronald Lynn Blair et al.  
Serial No. : 10/086,649  
Filed : March 1, 2002  
For : AUDIO FREQUENCY SCALING DURING VIDEO TRICK MODES  
UTILIZING DIGITAL SIGNAL PROCESSING

**FILING OF MISSING PARTS OF APPLICATION -  
WITH AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This is in response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(b), mailed March 1, 2002 and relating to the above-identified application Serial No. 10/086,649. Applicant hereby submits the following and authorizes the following charges to Deposit Account No. 07-0832:

- 1) A surcharge of \$130.00 required under 37 CFR 1.16(e) for filing the Declaration on a date later than the filing date of the application.
- 2) The basic filing fee in the amount of \$740.00 with an additional \$72 for (4 total claims over 20).
- 3) A copy of the Notice to File Missing Parts of Nonprovisional Application.

The Assistant Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 07-0832.

Respectfully Submitted,  
Ronald Lynn Blair et al.

*Francis A. Davenport*  
BY: Francis A. Davenport

**DATE: April 22, 2002**

Reg. No.: 36,316  
(609) 734-9864

THOMSON multimedia Licensing Inc.  
Patent Operations  
P. O. Box 5312  
Princeton, NJ 08543-5312


**Certificate of Mailing Under 37 C.F.R. 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

*April 22 2002*  
Date

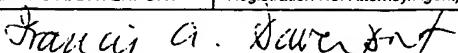
*Natalie Sobon-Brottman*  
Natalie Sobon-Brottman

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002		Complete if Known		
 <p>Patent fees are subject to annual revision.</p>		Application Number	10/086,649	
		Filing Date	March 1, 2002	
		First Named Inventor	Ronald Lynn Blair et al.	
		Examiner Name		
		Group / Art Unit		
TOTAL AMOUNT OF PAYMENT (\$)		942.00	Attorney Docket No.	PU020030

METHOD OF PAYMENT (check one)						
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:						
Deposit Account Number	07-0832					
Deposit Account Name	THOMSON multimedia Licensing Inc.					
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17						
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27						
2. <input type="checkbox"/> Payment Enclosed:						
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other						
FEE CALCULATION						
1. BASIC FILING FEE						
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	
101	740	201	370	Utility filing fee	740	
106	330	206	165	Design filing fee		
107	510	207	255	Plant filing fee		
108	740	208	370	Reissue filing fee		
114	160	214	80	Provisional filing fee		
SUBTOTAL (1)					\$740.00	
2. EXTRA CLAIM FEES						
Total Claims	24	-20 **	= 4	Extra Claims	Fee from below	Fee Paid
Independent Claims	2	-3 **	=		84	
Multiple Dependent						
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description		
103	18	203	9	Claims in excess of 20		
102	84	202	42	Independent claims in excess of 3		
104	280	204	140	Multiple dependent claim, if not paid		
109	84	209	42	** Reissue independent claims over original patent		
110	18	210	9	** Reissue claims in excess of 20 and over original patent		
SUBTOTAL (2)					(\$ 72.00)	
**or number previously paid, if greater; For Reissues, see above						

FEE CALCULATION (continued)						
3. ADDITIONAL FEES						
Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description		Fee Paid
105	130	205	65	Surcharge - late filing fee or oath		130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		
139	130	139	130	Non-English specification		
147	2,520	147	2,520	For filing a request for reexamination		
112	920*	112	920*	Requesting publication of SIR prior to Examiner action		
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
115	110	215	55	Extension for reply within first month		
116	400	216	200	Extension for reply within second month		
117	920	217	460	Extension for reply within third month		
118	1,440	218	720	Extension for reply within fourth month		
128	1,960	228	980	Extension for reply within fifth month		
119	320	219	160	Notice of Appeal		
120	320	220	160	Filing a brief in support of an appeal		
121	280	221	140	Request for oral hearing		
138	1,510	138	1,510	Petition to institute a public use proceeding		
140	110	240	55	Petition to revive - unavoidable		
141	1,280	241	640	Petition to revive - unintentional		
142	1,280	242	640	Utility issue fee (or reissue)		
143	460	243	230	Design issue fee		
144	620	244	310	Plant issue fee		
122	130	122	130	Petitions to the Commissioner		
123	50	123	50	Processing fee under 37 CFR 1.17 (q)		
126	180	126	180	Submission of Information Disclosure Stmt		
581	40	581	40	Recording each patent assignment per property (times number of properties)		
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))		
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))		
179	740	279	370	Request for Continued Examination (RCE)		
169	900	169	900	Request for expedited examination of a design application		
Other fee (specify) _____						
*Reduced by Basic Filing Fee Paid					SUBTOTAL (3)	(\$ 130.00)

SUBMITTED BY				Complete (if applicable)	
Name (Print/Type)	FRANCIS A. DAVENPORT	Registration No. Attorney/Agent	36,316	Telephone	609-734-9864
Signature				Date	4/22/02

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Provide credit card information and authorization on PTO-2038.

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